



07-13-04

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PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages In This Submission

32*

Application Number

10/706,815

Filing Date

NOV. 12, 2003

First Named Inventor

VIG

Art Unit

U/A

Examiner Name

U/A

Attorney Docket Number

02A-03US1

ENCLOSURES (Check all that apply)

- | | | |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance communication to Technology Center (TC) |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation | <input type="checkbox"/> Status Letter |
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| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | | |
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Remarks

IDS + 4 references

29 double-sided pages for references

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Joseph E. Gortych

Signature

Joseph E. Gortych

Date

July 12, 2004

CERTIFICATE OF TRANSMISSION/MAILING

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Joseph E. Gortych

Date

7/12/04

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete If Known

Application Number	10/700,85
Filing Date	Nov. 12, 2003
First Named Inventor	VIG
Art Unit	N/A
Examiner Name	N/A
Attorney Docket Number	029-03US

U. S. PATENT DOCUMENTS	
Publication Date	Author

[illegible]

FOREIGN PATENT DOCUMENTS		
	Publication	No.
1	1980	1
2	1981	2
3	1982	3
4	1983	4
5	1984	5
6	1985	6
7	1986	7
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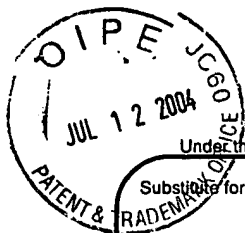
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		EPI 134925 A2	09-14-2001	Lucent Tech.	all	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known			
		Application Number	10/706,815		
		Filing Date	NOV. 12, 2003		
		First Named Inventor	VIG		
		Art Unit	N/A		
		Examiner Name	N/A		
Sheet	2	of	2	Attorney Docket Number	029-03US1

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Jin et al., "Feed back controlled variable optical attenuator for channel power regulation in WDM systems," Elec. Lett. Vol. 35 No. 11 May 27, 1999	

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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